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WEMHH/SB/21 (10/03) Approved for use through 07/31/2006. OMB 0651-0031 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB number. **Application Number** 10/718,770 TRANSMITTAL November 21, 2003 Filing Date **FORM** Charles L. Branch, Jr. First Named Inventor Art Unit (to be used for all correspondence after initial filing) **Examiner Name** 5 Attorney Docket Number 4002-3020/PC740.00 Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance communication to ☐ Fee Transmittal Form ☐ Drawings Group Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to Group Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Provisional After Final Proprietary Information Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please identify \boxtimes Extension of Time Request Terminal Disclaimer below): **Express Abandonment Request** Request for Refund IDS 1449 Citation Form CD, Number of CD(s) Return Receipt Post Card Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Bred A. Schepers, Reg. No. 45,431 Firm or Woodard, Emhardt, Moriarty, McNett & Henry LLP individual name Signature Date Eeldruary 23, 2004

CERTIFICATE OF TRANSMISSION/MAILING								
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Box 1450, Alexandria, V	A 22313-1450 on t	the date shown	below					
Typed or printed name	Frad A. Schepers	<u> </u>						
Signature	1 Nord	117V	<i></i>	Dat	te February 23, 2004			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

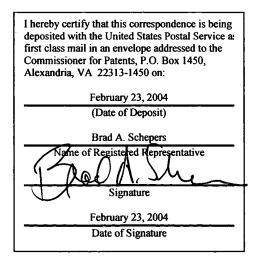
In re patent application of:)	Before the Examiner:
Charles L. Branch, Jr.)	(Unknown)
)	,
Serial No. 10/718,770)	Group Art Unit
)	(Unknown)
Filed: November 21, 2003)	, ,
ŕ)	Ref. No.: 4002-3020/
EXPANDARI E SPINAL IMPLANT	Ń	PC740 00

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to the duty of disclosure embodied in 37 C.F.R. § 1.56, Applicants wish to bring to the attention of the Examiner the items of information listed on the enclosed Information Disclosure Citation form. Copies of the U.S. patents and/or U.S. patent application publications listed in this IDS form are not being provided herewith since the requirements for submitting such documents under 37 CFR 1.98 (a)(2)(i) have been waived with regard to applications filed or nationalized subsequent to June 30, 2003.



Information Disclosure Statement EXPANDABLE SPINAL IMPLANT Filed November 21, 2003 Inventor: Charles L. Branch, Jr. Page 1 of 2 The filing of this Information Disclosure Statement (IDS) shall not be construed as an admission that the information cited is or is considered to be prior art or material to patentability as defined in § 1.56(b).

This Statement is being submitted under 37 CFR 1.97(b) before the occurrence of one or more of the following: (a) receipt of a First Office Action on the merits, (b) passage of three (3) months from the application filing date, or passage of three (3) months from the date entry of the national stage. Accordingly, it is believed that no fee is due. However, should any fee be required, please charge such fee to Deposit Account No. 23-3030, but not to include any payment of issue fees.

Respectfully submitted,

Brad A. Schepers

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Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

	Complete if Known
Application Number	10/718,770
Filing Date	November 21, 2003
First Named Inventor	Charles L. Branch, Jr.
Group Art Unit	
Examiner Name	
Attornev Docket Number	4002-3020/PC740.00

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	
		US-5,059,193	10/22/1991	Kuslich		
		US-5,514,180	05/07/1996	Heggeness et al.		
		US-5,554,191	09/10/1996	Lahille		
-		US-5,653,763	08/05/1997	Errico et al.		
		US-5,658,335	08/19/1997	Allen		
		US-5,665,122	09/09/1997	Kambin		
		US-5,749,916	05/12/1998	Richelsoph		
		US-5,782,832	07/21/1998	Larsen et al.		
		US-5,976,187	11/02/1999	Richelsoph		
		US-6,102,950	08/15/2000	Vaccaro	-	
		US-6,117,174	09/12/2000	Nolan		
·		US-6,126,689	10/03/2000	Brett		
		US-6,129,763	10/10/2000	Chauvin et al.		
		US-6,159,244	12/12/2000	Suddaby		
		US-6,174,334	01/16/2001	Suddaby		
		US-6,176,882	01/23/2001	Biedermann et al.		
		US-6,183,517	02/06/2001	Suddaby		
		US-6,217,579	04/17/2001	Koros	·	

Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	T ⁴
Initials* No	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY Application of Cited Document		Figures Appear	
		DE 4416605 C1	06/08/1995	Wing		
		WO 97/00054	01/03/1997	Olerud et al.		
		WO 00/12033	03/09/2000	Liu et al.		
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Examiner Signature	Date Considered	

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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the emperor must precede the serial number of the patent document. 'Kind of document by the apopropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

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2 of 2

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	U.S. PATENT DOCUMENTS							
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		US-6,332,894	12/25/2001	Salcup et al.				
_		US-6,332,895	12/25/2001	Suddaby				
		US-6,371,989	04/16/2002	Chauvin et al.				
		US-2002-0040243	04/04/2002	Attali et al.				
		US-2002-0143401	10/03/2002	Michelson				
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Examiner Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	T ⁴			
Initials*	tials* No.¹ Country Code³-Number⁴-Kind Code⁵ (if known) MM-DD-YYYY		MM-DD-YYYY	Application of Cited Document Relevant Passages or Relevant Figures Appear				
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Examiner Signature	Date Considered	

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